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(54) Title: THE PRODUCTION OF BIOPOLYMER FILM, FIBRE, FOAM AND ADHESIVE MATERIALS FROM SOLUBLE  
S-SULFONATED KERATIN DERIVATIVES

(57) Abstract: Film, fibre, foam and adhesive materials are produced from soluble S-sulfonated keratins. Once formed, the films,  
fibres, foams or adhesives are treated to modify the properties of the materials, in particular to improve the wet strength of the  
materials. Treatments used include removal of the S-sulfonate group by treatment with a reducing agent, treatment with an acid  
or treatment with a common protein crosslinking agent or treatment with a reduced form of keratin or keratin protein. The films  
are made by solvent casting a solution of S-sulfonated keratin proteins, the foam made by freeze-drying a solution of S-sulfonated  
keratin proteins and the fibres made by extruding a solution of a S-sulfonated keratin protein.

## **The Production of Biopolymer Film, Fibre, Foam or Adhesive Materials from Soluble S-sulfonated Keratin Derivatives**

### **5    Field of the Invention**

This invention relates to the preparation and use of soluble keratin derivatives in the production of a range of biopolymer materials such as films, fibres, foams and adhesives, and the improvement of those materials using further chemical treatments.

### **10   Background to the Invention**

Keratins are a class of structural proteins widely represented in biological structures, especially in epithelial tissues of higher vertebrates. Keratins may be divided into two major classes, the soft keratins (occurring in skin and a few other tissues) and hard keratins, forming the material of nails, claws, hair, horn and (in birds and reptiles) feathers and scales.

The hard keratins may in turn be further subdivided into structural types described as  $\alpha$ -keratin,  $\beta$ -keratin, or feather keratin. Keratins of the  $\alpha$  and  $\beta$  types have different predominant structural motifs in their proteins, in the former case supramolecular structures based on the  $\alpha$ -helix secondary structure of protein chains, and in the latter case on the  $\beta$ -pleated sheet motif.

All keratins are characterised by a high level of the sulphur-containing diamino-acid cystine, which acts as a cross-linking point between protein chains. This feature of a high-level of interchain crosslinking through cystine gives the keratins, especially the hard keratins, their characteristics of toughness, durability, resistance to degradation,

and desirable mechanical properties. Cystine contents vary widely in the keratins, which is reflected in their variation in mechanical properties.

Wool and hair are examples of hard  $\alpha$ -keratin. However, even in a given  $\alpha$ -keratin,  
5 there are many classes of structural protein present, and the mechanical properties arise from a sophisticated supramolecular organisation of proteins of many different types to create a complex morphology with a correspondingly complex mechanical behaviour.

10 An object of the invention is to provide biopolymer materials derived from soluble keratin derivatives and production methods for producing the biopolymer materials.

### **Summary of the invention**

According to a broadest aspect of the invention there are provided materials derived  
15 from S-sulfonated keratin proteins, as herein defined, in the form of films, fibres, foams or adhesives. The S-sulfonated keratin proteins can be derived from wool keratin and be enriched in intermediate filament protein(s).

According to another aspect of the invention there is provided a process method for the  
20 formation of films from S-sulfonated keratin proteins in which a solution of the proteins is cast and the solution solvents evaporated to leave a protein film.

The solution(s) used can be aqueous based, including some proportion of organic solvents.

The films produced by this process method are inherently soluble in water or the solvent mix used for casting the film.

Another aspect of the invention describes a method for improving the wet strength of  
5 films, produced by the process method, by using chemical agents, such as thiols and phosphines, that remove the sulfonate group and allow the formation of disulfide bonds within the protein film. The disulfide bonds provide the film with wet strength.

Another method of improving the wet strength of a film, produced by the process  
10 method, is described in which acidic solutions are used to treat the protein film, and through a process of protonation of the sulfonate groups and any other suitable polar groups within the protein, the film becomes insoluble in water and has significant wet strength.

15 Another aspect of the invention describes introduction of crosslinks into a film, produced by the process method, through the use of crosslinking agents such as those commonly used in protein modifications, that target a range of functional groups present within the protein.

20 A further aspect of the invention is a method for the production of protein films using a solution comprising a combination S-sulfonated keratin proteins and reduced keratin proteins or peptides containing reactive cysteine residues. The two species combine to form a crosslinked keratin network and subsequently a protein film with good wet strength properties. This approach of combining S-sulfonated and reduced keratins can  
25 also be applied to the production of keratin fibres, foams and adhesives.

A further aspect of the invention is a method for the production of keratin fibres through the extrusion of a solution comprising of S-sulfonated keratin proteins through a spinnerette into a coagulation bath that causes the protein to become insoluble. In particular the coagulation bath may contain reductants, such as thiols or phosphines, that cause the removal of the sulfonate group from the protein and lead to disulfide groups forming. In addition the coagulation bath can contain crosslinking agents, such as formaldehyde or glutaraldehyde, which cause the protein(s) to become insoluble on contact with the coagulation bath. In addition the coagulation bath can be at acidic pH, which also causes the protein solution to become insoluble.

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A further aspect of the invention is a method for the production of keratin fibres through the extrusion of a solution comprising of S-sulfonated keratin proteins through a spinnerette into a hot environment through which the solvent is rapidly removed and a fibrous keratin material remains. Fibres produced in this way can be further processed through wet chemical treatments to improve the wet strength of the fibres through the formation of crosslinks, or by protonation of the protein in manners similar to those described above for keratin films.

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A further aspect of the invention is a method for the production of keratin foams through the freeze drying of a solution of S-sulfonated keratin proteins. Foams produced in this way can be modified using similar methods to those described for keratin films, that is through the use of a reductant such as a thiol or phosphine to remove the S-sulfonate group, through the use of reduced keratin proteins or peptides to remove the S-sulfonate group, through the use of an acidic solution to protonate the S-sulfonate group and the protein, or through the use of crosslinking agents such as formaldehyde and glutaraldehyde to modify the protein.

20  
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A further aspect of the invention is a range of keratin based adhesives, comprising at least in part a solution of S-sulfonated keratin proteins. These adhesives can be made to have superior wet strength properties through the use of reducing agents, such as thiols or phosphines. Alternatively wet strength can be imparted through the use of a reduced keratin protein or reduced keratin peptide, to create a crosslinked keratin network. These two sets of reagents can form a 'two pot' adhesive.

The flexibility of the films, fibres, foams and adhesives produced by the methods described can be modified through the use of plasticizers such as those from the glycerol or polyethylene glycol families.

According to further aspect of the invention there is provided a film, fibre, foam or adhesive material derived from keratin derivatives of high molecular weight as described and claimed in PCT/NZ02/00125. The protein keratin source can be a naturally occurring protein source.

According to yet a further aspect of the invention there is provided a film, fibre, foam or adhesive material derived from either highly S-sulfonated keratin intermediate filament proteins, soluble keratin peptides or a purified protein with little or no damage to the structural integrity of the protein as produced from an impure protein source as described and claimed in PCT/NZ02/00125.

According to yet a further aspect of the invention there is provided a combination of engineering solutions to produce a film, fibre, foam or adhesive material derived from S-sulfonated keratin proteins.

According to yet another aspect of the invention there is provided a film, fibre, foam or adhesive material obtained from a protein produced from a large scale recovery method as described and claimed in PCT/NZ02/00125.

5    **Description of Preferred Examples**

The features of this invention specifically cite some methods and applications based on hard  $\alpha$ -keratins from wool. However, the principle can equally well apply to alternative  $\alpha$ -keratins, or any source of keratin which is able to yield proteins of the intermediate filament (IF) type.

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Similar preparative methods have been applied by the applicants to other keratin sources such as feathers, to produce materials equally well suited for some of the applications described below. The features of this invention are intended to cover the utilisation of such keratins as well, in applications which are not dependent on the presence of proteins of the  $\alpha$ -type (IF proteins). This includes applications where  
15    preparations based on  $\beta$  or feather keratin may be combined with IF proteins.

The characteristics of toughness and insolubility typical of hard keratins are desirable properties in many industrial materials. In addition, keratin materials are biodegradable  
20    and produced from a sustainable resource and as such they have significant potential for use as a substitute for oil-based polymers in many applications, such as films, fibres and adhesives. Their use in cosmetics and personal care applications is already well established and an extension to medical materials is proposed using materials such as those outlined in this specification.

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Wool represents a convenient source of hard  $\alpha$ -keratins, although any other animal fibre, or horns, or hooves, would serve equally well as a source of the desired proteins. Wool is composed of approximately 95% keratin, which can be broadly divided into three protein classes. The intermediate filament proteins are typically of high molecular weight (45-60kD), with a partly fibrillar tertiary structure and a cysteine content of the order of 6%. They account for approximately 58% of the wool fibre by mass although only part of this mass is actually helix-forming in structure. The high- and ultra-high-sulphur proteins, approximately 26% of the wool fibre, are globular in structure, have a molecular weight range of 10-40kD and can contain cysteine levels up to 30mol%. The high-glycine-tyrosine proteins are a minor class comprising 6% of the wool fibre, have molecular weights of the order of 10kD and are characterised by their high content of glycine and tyrosine amino acid residues.

Proteins from the different classes of wool keratins possess characteristics that will give them unique advantages in specific applications.

This invention pertains largely to the use of intermediate filament proteins, and the use of them to produce films, fibres, foams and adhesives.

Nonetheless the other non-fibrillar proteins have applications in their own right in more restricted fields.

Likewise feather keratins, derived by extractive procedures similar to those applied to wool, have specific valuable applications in certain areas as defined below, but do not contain the IF proteins deemed to be desirable in some end-uses.



The soluble keratin derivatives used in the method and subsequent chemical treatments described in this specification were obtained from wool or feathers either by reduction using sodium sulphide or by oxidative sulfitolysis. An example of process for the production of soluble keratin derivatives is described in the applicant's PCT/NZ02/00125 patent specification, the description of which is incorporated herein by way of reference. The reduction of wool or feather keratin using sodium sulphide involves dissolution in a dilute sodium sulphide solution (or other sulphide solution). The combination of high solution pH and sulphide ion concentration results in the keratin being degraded to some extent, with possible hydrolysis of some of the peptide bonds occurring, as well as the disulphide bonds being reduced to yield protein rich in thiol and polysulphide functionality. The rich thiol function of the isolated protein can be confirmed using reagents such as nitroprusside. Oxidative sulfitolysis involves the conversion of the cysteine in keratin to S-sulfocysteine by the action of sodium sulphite and an oxidant. No peptide hydrolysis occurs and the solubilised keratin has a molecular weight distribution very similar to that in the unkeratinised state. Proteins derivatised in this way are referred to herein as S-sulfonated keratin proteins throughout the process methods, and are isolated from an oxidative sulfitolysis solution in the acid form, that is as kerateine S-sulfonic acid.

S-sulfonated keratin protein is soluble only as the salt, which can be prepared by the addition of base to the S-sulfonated keratin protein. For the preparation of films from S-sulfonated wool keratin intermediate filament protein it is convenient to prepare a 5% protein solution by suspending S-sulfonated keratin protein in water and adding base such as sodium hydroxide or ammonia to give a final composition of 1ml 1M NaOH, or equivalent base, per gram of protein to give a solution with a final pH in the range 9 – 10. Casting this solution onto a flat surface, such as a glass plate, and allowing the

water and/or ammonia to evaporate at room temperature results in the formation of a keratin film. These keratin films have a high degree of clarity and have the physical properties detailed in Table 1 below. In untreated films there is likely to be little or no covalent bonding occurring between keratin proteins within the material as the disulphide bonds present in the original keratin have been converted to S-sulfocysteine. The hydrogen bonding and other non-covalent interactions occurring between the proteins are clearly significant, as the tensile strength of the material in the dry state is relatively high. The hydrogen bonding type interactions are overcome in the presence of water, reflected by the large decrease in tensile strength under wet conditions.

The physical properties of the materials derived from S-sulfonated keratin proteins depend to a large extent on the nature of the interactions between the proteins comprising the material. These can be affected significantly by a range of chemical treatments, with one of the most significant of these treatments being the use of a reductant to remove the sulfonate group from the protein to leave a thiol function. Under atmospheric conditions, or in the presence of an oxidant such as dilute hydrogen peroxide, these thiol functions recombine to form disulfide bonds and return the chemical nature of the keratin material to one much closer to the original form, that is proteins containing a high proportion of cystine disulfide links.

Treatment with a reducing agent, such as ammonium thioglycollate at pH 7 for 30 minutes, or tributylphosphine for 24 hours, is an effective way to remove the sulfonate function from S-sulfonated keratin. This can be confirmed using infra-red studies as the S-sulfonate group gives rise to a strong, sharp absorbance at  $1022\text{cm}^{-1}$  which is observed to disappear on exposure of the S-sulfonated to the reagents described.

In one aspect of the invention the reductant used to remove the sulfonate function and introduce cystine disulfides is itself a keratin protein. Reduced keratin proteins, or keratin peptides, containing the thiol function can be readily produced by the process of sulphide dissolution described above. Keratin proteins prepared in this way contain the  
5 cysteine reducing group which may covalently attach directly to the S-sulfonate group to form a cystine disulfide. In this way a crosslinked keratin network is formed without the use of other agents.

In the case of S-sulfonated wool keratin intermediate filament protein films reductive  
10 treatment significantly improves the wet strength properties of the material, as indicated by Table 1. The material retains a good degree of flexibility when wet. Other chemical treatments also affect the film properties. Treatment with an acid, such as 1M hydrochloric acid, protonates the basic groups within the protein and converts the S-sulfocysteine, present as the sodium or ammonium salt, to S-sulfonic acid. This can  
15 improve the hydrogen bonding interactions, as the wet strength of the film clearly improves and no covalent bonds have been introduced. The S-sulfonate functionality, as determined by infra-red absorption, remains intact. Standard protein crosslinking treatments, such as the use of formaldehyde or glutaraldehyde, also improve the wet strength of the film, and introduce rigidity in both the wet and dry states. This is  
20 achieved through crosslinking the proteins in a way that does not specifically target the sulfonate functionality and many of the amino acid residues containing nucleophilic side groups such as lysine, tyrosine and cystine may be involved in crosslinking.

**Table 1. Strength, extension and swelling data for protein films.**

Film and treatment	Dry strength $\times 10^{-7} \text{Nm}^{-2}$ (cv)	Wet strength $\times 10^{-7} \text{Nm}^{-2}$ (cv)	% extension at break dry (cv)	% extension at break wet (cv)
Untreated	1.3 (11)	0.06 (15)	151 (24)	227 (20)
Reductant	5.9 (7)	2.2 (21)	6 (16)	208 (15)
Acid	6.1 (3)	1.6 (14)	6 (31)	387 (6)
Glutaraldehyde	5.0 (8)	1.9 (14)	4 (11)	4 (8)
Formaldehyde	2.8 (16)	0.96 (8)	7 (41)	13 (25)

cv = coefficient of variation, %, n = 5

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Solutions of S-sulfonated keratin proteins can be used to produce reconstituted keratin fibres by a variety of extrusion methods. Using a wet spinning approach, similar in concept to the spinning of viscose rayon in which a solution of a material is extruded into a coagulation bath in which the material is insoluble, solutions of S-sulfonated keratin proteins can be extruded into solutions containing chemicals that make the protein become insoluble. Any of the three approaches described for chemically treating S-sulfonated keratin films can be employed in the coagulation bath used to generate keratin fibres. By employing reductants, such as ammonium thioglycollate, in the coagulation bath, the S-sulfonated keratin proteins are converted back to keratins containing cystine disulfides through a wet spinning process, thereby producing reconstituted keratin fibres that have a multitude of disulfide links and good physical properties. By using acidic conditions the S-sulfonated keratin proteins become protonated and subsequently insoluble. By using crosslinking agents, such as

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formaldehyde or glutaraldehyde, the protein also becomes insoluble. The coagulation baths can also contain high concentrations of salt or solvent to assist the process of fibre formation. In each case precipitation of the extruded protein occurs, possibly only in an outer skin of the extruded filament, and a fibre is formed with sufficient mechanical integrity to allow it to be collected from the coagulation bath and subjected to further treatments such as drawing or other chemical processes.

A dry spinning approach can also be employed for the production of reconstituted keratin fibres. The method is similar in concept to the formation of S-sulfonated keratin films described above, in which solvent is removed from an S-sulfonated keratin protein solution and a keratin material remains. In the formation of fibres this approach is employed by extruding a solution of S-sulfonated keratin protein that has a composition typically of 6-10% protein and up to 50% of a solvent such as acetone, ethanol or isopropylalcohol, with the remaining portion of the solution being water and a base such as sodium hydroxide to give a pH of 9-10. This solution is extruded downwards into a chamber containing a continuous downward hot air stream which causes the solvent to rapidly evaporate, and an S-sulfonated keratin fibre remains. Subsequent chemical treatments, such as the reductive, acidic or crosslinking treatments described for keratin films described above, can be employed to impart wet strength properties to keratin fibres produced by this method.

Solutions of S-sulfonated keratins can be used to prepare highly porous protein foams. This is achieved by freeze drying a solution, prepared as described for the casting of keratin films. In order to produce foams the solution is cast onto an appropriate dish or surface and frozen, prior to being freeze dried. The resulting porous network is a foam of S-sulfonated keratin protein. As with the film and fibre forms of this material, applying

chemical modifications to the protein has a significant effect on the wet properties of the material. In particular, applying reductants such as ammonium thioglycollate or tributylphosphine under similar conditions to those applied to the protein film, results in the removal of the S-sulfonate group and the formation of a network of disulfide bonds, and subsequently decreases the solubility and increases in the wet strength of the foam. A reduced form of keratin can also be used to similar effect, again resulting in the formation of foam comprising of a keratin protein interconnected through a network of disulfide bonds. Treatment of the foam with an acid, such as 1M hydrochloric acid, results in protonation of any available groups within the material, such as the S-sulfonate group, and a subsequent increase in the wet strength of the material. Crosslinking agents, such as formaldehyde or glutaraldehyde, can also be used to significantly modify the wet properties of the foam.

All the above applications relate preferentially to the case of IF-type proteins prepared from hard  $\alpha$ -keratins such as wool, but other applications such as the following one can use keratins from other sources, such as feather keratin.

Solutions of keratins obtained from wool or feathers by either reduction using sodium sulphide or by oxidative sulfitolysis as described above show significant adhesive properties in various applications. However, the wet strength of both of these adhesives is limited. Keratin made soluble by sulphide reduction is degraded to some extent and contains protein chains of lower molecular weight than in the original wool. S-sulfonate derived keratin polymers contain no covalent crosslinks and hydrogen bonding interactions are weakened significantly in water, as demonstrated by the keratin films described above. However the wet strength and adhesive properties can be greatly enhanced by reforming disulphide cross-links, by adding an oxidant in the

case of sulphide-derived proteins, or a reducing agent in the case of the S-sulfonated keratin proteins. By such means very effective adhesive bonding can be achieved, for example in wood-particle composites bonded with oxidised sulphide-derived proteins.

5 A particular feature of this invention relates to the recognition that the sulphide-derived protein and the S-sulfonated keratin proteins can be used in conjunction to create highly cross-linked structures with very superior properties. As noted above, the former class of protein can be crosslinked by oxidation, and the latter by reduction. The two protein classes, one being in a reduced state and the other in an oxidised state, will  
10 when mixed form a self-crosslinking system. In effect, in such a system, an addition of sulphide-derived protein is acting as a reductant and crosslinking agent to convert the S-sulfonate groups in the other component to disulfide bonds.

Such a two-pot self-crosslinking system is a particular aspect of the invention which will  
15 have applications in many forms of product, and has the advantage of eliminating volatile low molecular weight materials and the necessity to use solvents in some forms of product fabrication. Thus it is to be expected that such composites can be formed from mixtures of solids or viscous dispersions without shrinkage.

20 In such two-component systems, the respective sulphide-derived and S-sulfonate keratin proteins can be produced from the same or different keratin sources. For example, if the mechanical property characteristics associated with IF proteins were desirable, the S-sulfonated keratin protein could be derived from a hard  $\alpha$ -keratin such as wool, and the sulphide-derived protein from another keratin source such as feathers.

An alternative two-component system is one which utilises a reductant from the thiol or phosphine family in addition to S-sulfonated keratin proteins. Combining solutions of these two materials results in the removal the sulfonate group and formation of cystine disulfides in the manner described above for keratin films and fibres. This gives rise to  
5 an adhesive formulation with good wet strength properties.

By such means, proteins from sources other than hard keratins can be incorporated into many of the product classes described above, and therefore the features in this invention encompass keratin sources in general and are not restricted to hard  $\alpha$ -  
10 keratins.

Polar, soluble reagents of low molecular weight, such as polyethylene glycol or glycerol, can be employed as plasticising agents to give keratin materials flexibility. These agents are best employed by inclusion in the keratin solutions used as the  
15 starting point for the formation of films, fibres or adhesives.

### **Examples:**

#### **Example 1a preparation of a keratin film.**

In order to prepare an S-sulfonated keratin film, a 5% keratin protein solution was  
20 prepared by suspending 0.5g S-sulfonated wool keratin intermediate filament protein in water, followed by the gradual addition of 0.5ml of 1M sodium hydroxide to the vigorously stirred solution over approximately 2 hours. The pH of the solution was carefully monitored and observed to elevate to ~pH10 upon immediate addition of base, and gradually fall as the base was absorbed by dissolution of the protein. A final  
25 pH of 9.5 was obtained. The protein solution was centrifuged at 34,000g to remove any insoluble material and the resulting solution was cast onto a 100mm square petri dish



and allowed to dry under ambient conditions. Following drying a clear protein film remained which could be easily removed from the petri dish.

#### **Example 1b, disulfide crosslinking of protein films**

5 In order to improve the wet strength of S-sulfonated keratin films, disulfide crosslinks were introduced to the film by immersing the films produced in Example 1a in a solution containing a reducing agent. One example is a solution comprising 0.25M ammonium thioglycollate and 0.1M potassium phosphate buffer adjusted to pH 7.0. Another example is a solution comprising 1M thioglycollic acid. Another example is a solution  
10 containing 85 microlitres of tributyl phosphine in 20ml of 10% (v/v) 0.2M borate buffer in dimethyl formamide buffered to pH 9.0. Following immersion in the solution with gentle agitation for 30 minutes in the case of the thiols and 24 hours in the case of the phosphine, the keratin film was removed, rinsed briefly with water and allowed to dry under ambient conditions.

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#### **Example 1c, protonation of protein films**

In order to improve the wet strength of S-sulfonated keratin films, acid was used to protonate all available sites on the proteins. This was achieved through immersion of the film produced in Example 1a in 1M hydrochloric acid for 30 minutes. Following a  
20 brief wash with water the film was allowed to dry under ambient conditions.

#### **Example 1d, non-disulfide crosslinking of protein films**

In order to improve the wet strength of S-sulfonated keratin films crosslinking agents were used to chemically bond proteins together. In one case this was achieved through  
25 the use of a solution of 8% formaldehyde in 0.1M phosphate buffer at pH 7.0. The film was immersed in this solution for 30 minutes, washed briefly with water and allowed to

dry under ambient conditions. In another case, crosslinking was achieved through the use of a solution of 5% glutaraldehyde in 0.1M phosphate buffer at pH7.0. The film was immersed in this solution for 30 minutes, washed briefly with water and allowed to dry under ambient conditions for 30 minutes.

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#### **Example 1e, plasticising of protein films**

In a variation of Example 1a, flexible protein films are made by incorporating glycerol or polyethylene glycol into the protein solution described in Example 1a at a level up to 0.2g per g of protein prior to casting the film. The resulting films have a greater flexibility, as determined by extension at break measurements, than the analogous films containing no plasticiser.

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#### **Example 2a, production of keratin fibres through wet spinning and disulfide crosslinking**

In order to prepare fibres derived from S-sulfonated keratin proteins a spinning dope was prepared in a similar manner to that prepared in Example 1a, with the difference being that for the extrusion of fibres, the concentration of protein in the solution was in the range 6-15%. A plasticiser, such as those described in Example 1e, was added to the spinning dope. Following centrifuging to remove solids and entrained air the dope was forced, using a positive displacement pump such as a syringe or gear pump, or air pressure, through a spinnerette into a coagulation bath. The coagulation bath had a composition of 1M ammonium thioglycollate, 0.4M sodium phosphate, 0.25M sodium sulfate, 2% glycerol all set to pH 7.0.

20

**Example 2b, production of keratin fibres through wet spinning and non-disulfide crosslinking**

In a variation to Example 2a, fibres were extruded into a coagulation bath with a composition of 0.25M ammonium thioglycollate, 0.1M sodium phosphate, 8% formaldehyde and 2% glycerol. This served to form tough fibres without forming disulfide bonds, as shown by infra red analysis which clearly indicated the presence of the S-sulfonate group. Subsequent treatment of the fibres with solutions containing reductants, such as ammonium thioglycollate at a concentration of 0.25M and a pH of 7.0 with 0.1M potassium phosphate buffer, was sufficient to remove the S-sulfonate group and reform disulfide bonds.

**Example 2c, production of keratin fibres through dry spinning.**

In order to produce fibres through a dry spinning process, first a spinning dope was prepared in a similar manner to that described in Example 2a. In variation to the dope preparation a solvent such as acetone or isopropylalcohol was added to the dope to give a final composition protein in the range 6-15%, solvent in the range 20-50% and plasticiser in the range 1-3%. The dope was extruded through a spinnerette, using similar technology to that described in Example 2a, downwards into a chamber with a continuous downwards hot air stream. This caused the solvent to rapidly evaporate leaving a keratin fibre. Subsequent wet processing of the fibre, through the use of acid, reductant and crosslinking agents, of the type described in Examples 1, was used to improve the wet strength properties of the fibre.

**Example 3a, production of a keratin foam**

A solution of S-sulfonated keratin protein, prepared to a protein concentration of 5% as described in Example 1a, was used to create a keratin foam by freezing the solution in a 100mm square petri dish and freeze drying the resulting solid.

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**Example 3b, chemical modification of keratin foam**

Chemical solutions containing reductants, acids or crosslinking agents, of the described in Examples 1b, c, and d were applied to the keratin foam, in a manner identical to that described for the keratin film. A keratin foam with significantly reduced

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**Example 4a, application of a keratin adhesive to bind wood**

A solution of S-sulfonated keratin protein, prepared to a protein concentration of 5% as described in Example 1a, was used to bind woodchips by mixing the keratin solution with woodchips in a ratio of 1ml solution per gram of woodchips. The mixture was then pressed and heated in a similar manner to the production of commercial urea-formaldehyde bound particle board (3MPa, 180°C, 300s), and a solid wood keratin composite resulted.

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**Example 4b, application of a keratin adhesive to bind textiles**

A solution of S-sulfonated keratin protein, prepared to a protein concentration of 5% as described in Example 1a, was used to bind woollen textiles by coating one of the textile surfaces with the keratin solution and pressing another textile onto the coated textile with the use of a pinch roller system. Following the drying of the composition at elevated temperature a bonded textile was produced. In a small variation, plasticiser

25

was included in the protein solution, in a manner similar to that described in example 1d, to produce a flexible adhesive.

**Example 4c, a two pot adhesive system using a reductant**

5 An adhesive was made by combining a solution of S-sulfonated keratin protein, prepared in the manner described in Example 1a, with a solution of a reductant. The reductant solution contained 10% triscarboxyethylphosphine hydrochloride. When mixed in a ratio of 10 parts keratin solution to 1 part reductant solution and applied to two wood surfaces this two pot formulation dried over 12 hours to create a strong bond  
10 between the wooden surfaces that remained strong in a moist environment. In a variation of this application a reductant solution was used which contained 0.25M ammonium thioglycollate buffered to pH 7.0 with 0.1M potassium phosphate. When mixed in a ratio of 10 parts keratin solution to 1 part reductant solution and applied to two wood surfaces this two pot formulation dried over 12 hours to create a strong bond  
15 between the wooden surfaces that remained strong in a moist environment.

**Example 4d, a two pot adhesive system using two forms of keratin.**

An adhesive was made by combining a solution of S-sulfonated keratin protein, prepared in the manner described in Example 1a, with a reduced keratin peptide  
20 solution which contained sulphur amino acids primarily in the form of cysteine and had a composition of 5% protein and 2% sodium sulphide. When mixed in equal parts and applied to two wood surfaces this two pot formulation dried over 12 hours to create a strong bond between the wooden surfaces that remained strong in a moist environment. In a variation of this application, the reduced keratin peptide was used in  
25 the form of a solid and mixed with the S-sulfonated keratin protein solution in a ratio of 5 parts S-sulfonated keratin solution to 1 part reduced keratin solid and applied to two

wood surfaces this two pot formulation dried over 12 hours to create a strong bond between the wooden surfaces that remained strong in a moist environment.

Where in the description particular integers are mentioned it is to be appreciated that  
5 their equivalents can be substituted therefore as if they were set forth herein.

Thus by the invention there is provided a method for the preparation and use of soluble keratin derivatives in the production of a range of biopolymer materials such as films, fibres, foams and adhesives, and the improvement of those materials using further  
10 chemical treatment.

Particular examples of the invention have been described and it is envisaged that improvements and modifications can take place without departing from the scope of the attached claims.

**Claims**

1. A film, fibre, foam or adhesive material derived from S-sulfonated keratin proteins.
2. A film, fibre, foam or adhesive material derived primarily from S-sulfonated wool  
5 keratin intermediate filament proteins.
3. A film, fibre, foam or adhesive material as claimed in claim 1 or claim 2 wherein the keratin proteins are reconstituted from a solution.
4. A method for making protein films by solvent casting a solution of S-sulfonated keratin proteins.
- 10 5. A method for improving the wet strength of a film produced by the method in claim 4, by introducing disulfide crosslinks into the film through a treatment with a reductant to remove the sulfonate group and reform disulfide links.
6. A method as claimed in claim 5 wherein the reductant is a thiol or a phosphine.
7. A method for improving the wet strength of the film produced by the method in  
15 claim 4, by introducing disulfide crosslinks into the film through a treatment with a reduced form of keratin or a reduced form of keratin peptide.
8. A method for improving the wet strength of the film produced by the method in claim 4, by protonating the S-sulfonate groups within the protein and any other polar groups through treatment of the film with acid.
- 20 9. A method for improving the wet strength of the film produced by the method in claim 4, by introducing crosslinks into the film through the use of common protein crosslinking agents, such as formaldehyde, glutaraldehyde and other species reactive with proteins.
- 25 10. A film made by the method claimed in claim 4 and subsequently modified by the any one of the methods claimed in claims 5 to 9.

11. A method for making protein films by solvent casting a solution containing a mixture of S-sulfonated keratin with a reduced form of keratin or keratin peptides.
12. A film produced by the method described in claim 11.
13. A method for making protein fibres by extruding a solution of S-sulfonated keratins  
5 into an aqueous solution containing salts and a reductant that causes the protein in solution to become insoluble.
14. A method as claimed in claim 13 wherein the reductant is a thiol or thioglycollate salt.
15. A method for making protein fibres by extruding a solution of S-sulfonated keratins  
10 into an aqueous solution containing salts, a reductant and a crosslinking agent that causes the protein in solution to become insoluble.
16. A method as claimed in claim 15 wherein the reductant is thiol or thioglycollate salt.
17. A method as claimed in claim 15 or claim 16 wherein the crosslinking agent is  
15 formaldehyde.
18. A method for making protein fibres by extruding a solution of S-sulfonated keratins into an aqueous solution containing salts and an acid that causes the protein in solution to become insoluble.
19. A method as claimed in claim 18 wherein the acid is sulfuric acid.
20. A method for making protein fibres by extruding a solution of S-sulfonated keratins  
20 into a hot environment and evaporating away the solvent rapidly, to leave a fibrous material behind.
21. Application of any one of the chemical treatment methods claimed in claims 5 to 9 to the fibrous product of the extruding process described in claim 20.
22. Fibres derived from S-sulfonated keratin produced by the methods described in  
25 any one of claims 15 to 21.



23. A method for making protein foams by freeze drying a solution of S-sulfonated keratin protein.
24. A method for improving the wet strength of a foam produced by the method in claim 23, by introducing disulfide crosslinks into the foam through a treatment with  
5 a reductant to remove the sulfonate group and reform disulfide links.
25. A method as claimed in claim 24 wherein the reductant is a thiol or a phosphine.
26. A method for improving the wet strength of the foam produced by the method in claim 23, by introducing disulfide crosslinks into the foam through a treatment with a reduced form of keratin or a reduced form of keratin peptide.
- 10 27. A method for improving the wet strength of the foam produced by the method in claim 23, by protonating the S-sulfonate groups within the protein and any other polar groups through treatment of the film with acid.
28. A method for improving the wet strength of the foam produced by the method in claim 23, by introducing crosslinks into the film through the use of common protein  
15 crosslinking agents, such as formaldehyde, glutaraldehyde and other species reactive with proteins.
29. A foam made by the method claimed in claim 23 and subsequently modified by any one of the methods claimed in claims 24 to 28.
30. A method for modifying the flexibility of films, foams or fibres by including in the  
20 keratin solution plasticizing agents, such as those from the glycerol and polyethylene glycol families.
31. An adhesive including a solution of S-sulfonated keratins.
32. An adhesive including a solution of S-sulfonated keratins and a reductant, such as a phosphine or a thiol that has greater wet strength properties than the adhesive  
25 described in claim 31.

33. A two pot adhesive formulation in which one component is a solution of S-sulfonated keratin protein and the other component is a solution of reduced keratins or reduced keratin peptides, that on combination react to form a crosslinked network and subsequently an adhesive with greater wet strength to that described in claim 31.
34. A film, fibre, foam or adhesive material derived from keratin derivates of high molecular weight as described and claimed in PCT/NZ02/00125.
35. A film, fibre, foam or adhesive material as claimed in claim 34 wherein the protein source is a naturally occurring protein source.
36. A film, fibre, foam or adhesive material derived from highly S-sulfonated keratin intermediate filament proteins as described and claimed in PCT/NZ02/00125.
37. A film, fibre, foam or adhesive material derived from soluble keratin peptides as described and claimed in PCT/NZ02/00125.
38. A method as claimed in any one of claims 4 to 9, 11, 12 to 20, 23 to 28 or 30 that uses a combination of engineering solutions to produce a film, fibre, foam or adhesive material derived from S-sulfonated keratin proteins.
39. A film, fibre, foam or adhesive derived from a purified protein with little or no damage to the structural integrity of the protein as produced from an impure protein source as described and claimed in PCT/NZ02/00125.
40. A film, fibre, foam or adhesive material obtained from a protein produced from a large scale recovery method as described and claimed in PCT/NZ02/00125.

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/NZ02/00169

<b>A. CLASSIFICATION OF SUBJECT MATTER</b>		
Int. Cl. <sup>7</sup> : C08J 5/18, 9/26, 3/24; C09J 189/04, 189/00; D01C 3/00; D01F 4/00, 8/02.		
According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b>		
Minimum documentation searched (classification system followed by classification symbols)		
IPC: C08J 3/24, 5/18, 9/26, 9/28; C09J 189/00, 189/04; D01C 3/00; D01F 4/00, 8/02; D06M 17/11; D01D 5/11.		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)		
Derwent (WPAT and JAPO), Chemical Abstracts		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P, X	Science News, 2002, 161, 12-121, "Material Take Wing", Jessica Gorman (see page 121 column 2 "Flights of Fancy")	1-2
A	Int. J. Biol. Macromol., (1986), 8, 258-264, "In vitro reconstitution of wool intermediate filaments", Helga Thomas <i>et al.</i> (see entire document, in particular page 259, 262)	1-3
A	US 6110487 A (Timmons <i>et al.</i> ) 29 August 2000 (see entire patent)	1-4, 11-12, 23
<input checked="" type="checkbox"/> Further documents are listed in the continuation of Box C <input checked="" type="checkbox"/> See patent family annex		
* Special categories of cited documents:		
"A" document defining the general state of the art which is not considered to be of particular relevance	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention	
"E" earlier application or patent but published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone	
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art	
"O" document referring to an oral disclosure, use, exhibition or other means	"&" document member of the same patent family	
"P" document published prior to the international filing date but later than the priority date claimed		
Date of the actual completion of the international search 22 October 2002	Date of mailing of the international search report 17 JAN 2003	
Name and mailing address of the ISA/AU AUSTRALIAN PATENT OFFICE PO BOX 200, WODEN ACT 2606, AUSTRALIA E-mail address: pct@ipaaustralia.gov.au Facsimile No. (02) 6285 3929	Authorized officer  NORMAN BLOM Telephone No : (02) 6283 2238	

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/NZ02/00169

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 6159495 A (Timmons <i>et al.</i> ) 12 December 2000 (see entire patent)	1-4, 11-12, 23
A	US 6124265 A (Timmons <i>et al.</i> ) 26 September 2000 (see entire patent)	1-4, 11-12, 23
A	US 5763583 A (Arai <i>et al.</i> ) 9 July 1998 (see entire patent)	1-8, 11-16, 30
A	Patent Abstract of Japan JP 06-192433 A (Kyoisha Chem Co Ltd, <i>et al.</i> ) 12 July 1994 (see entire abstract)	1
A	Encyclopedia of Polymer Science and Technology, (Plastics, Resins, Rubbers, Fibres), Interscience Publishers (a division of John Wiley & Sons, Inc., New York (1968), Volume 8, pages 7 and 8	1

## INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No.

PCT/NZ02/00169

This Annex lists the known "A" publication level patent family members relating to the patent documents cited in the above-mentioned international search report. The Australian Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent Document Cited in Search Report			Patent Family Member		
JP	06-192433	NONE			
US	5763583	CN 1105029	EP 628573	JP	07-126296
US	6159495	EP 1033953	WO 99/26570	EP	1039873
		WO 99/26595	WO 99/51175	US	6165496
		US 6110487	US 6432435	US	5932552
		US 5948432	US 6124265		
US	6124265	EP 1033953	WO 99/26590	EP	1039873
		WO 99/26595	WO 99/51175	US	6165496
		US 6110487	US 6432435	US	5932552
		US 5948432	US 6159495		
US	6110487	EP 1033953	WO 99/26570	EP	1039873
		WO 99/26595	WO 99/51175	US	6165496
		US 6159495	US 6432435	US	5932552
		US 5948432	US 6124265		
END OF ANNEX					